



ALBERT-LUDWIGS-
UNIVERSITÄT FREIBURG

Algorithm Theory

4 Randomized Algorithms: Quicksort

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Randomized algorithms

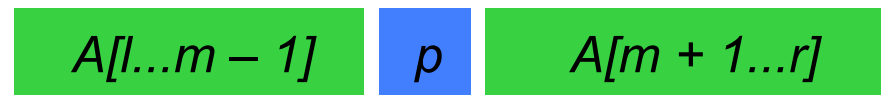
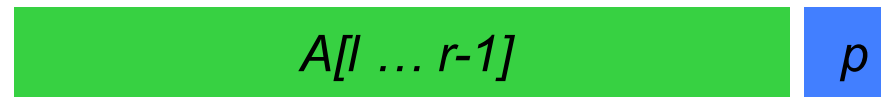
- ▶ **Classes of randomized algorithms**
- ▶ **Randomized Quicksort**
- ▶ **Randomized algorithm for Closest Pair**
- ▶ **Randomized primality test**
- ▶ **Cryptography**

Classes of randomized algorithms

- ▶ **Las Vegas algorithms**
 - **always correct**; expected running time (“probably fast”)
 - Examples:
 - randomized Quicksort,
 - randomized algorithm for closest pair
- ▶ **Monte Carlo algorithms (mostly correct):**
 - **probably correct**; guaranteed running time
 - Example: randomized primality test

Quicksort

Unsorted range $A[l, r]$ in array A



Quicksort

Quicksort

Quicksort

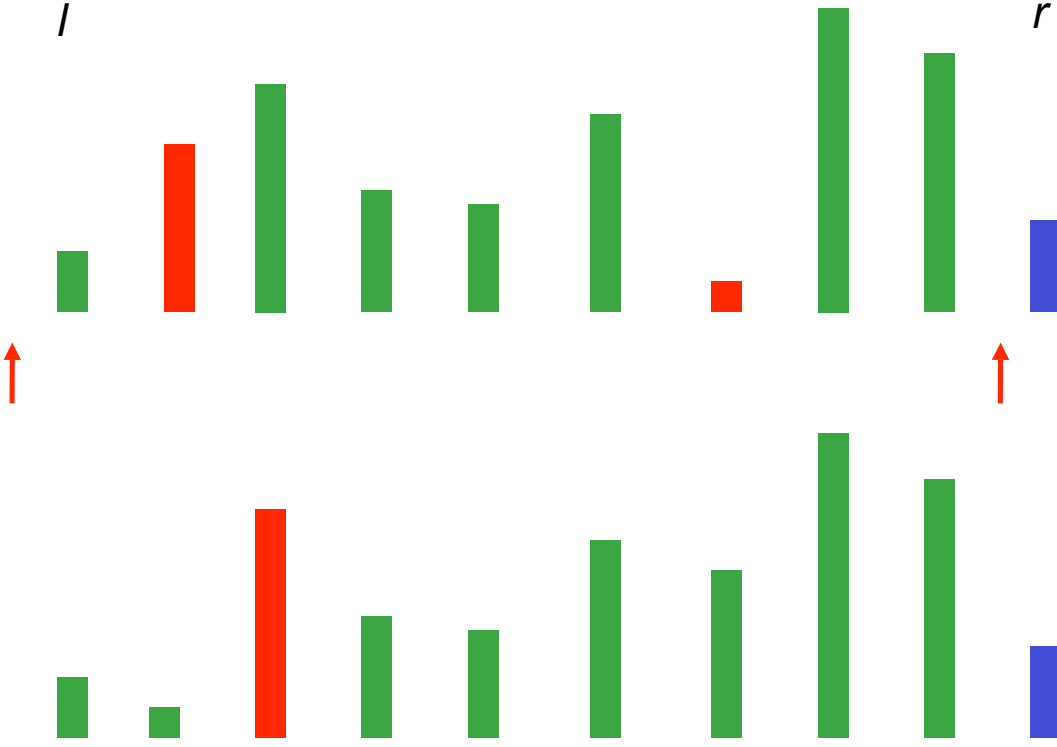
Algorithm: *Quicksort*

Input: unsorted range $[l, r]$ in array A

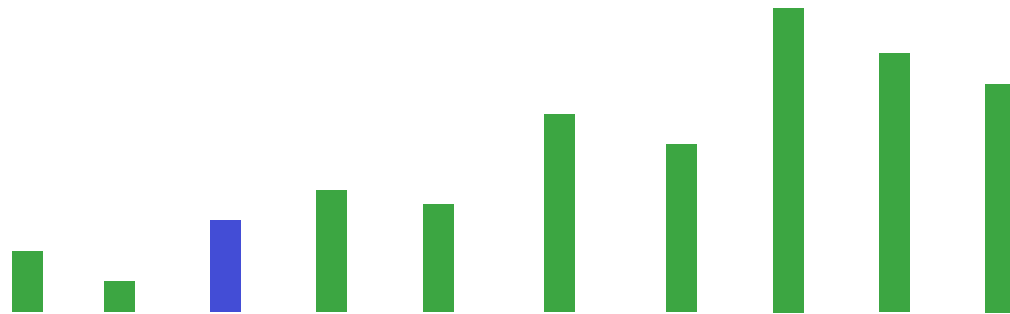
Output: sorted range $[l, r]$ in array A

```
1  if  $r > l$ 
2    then choose pivot element  $p = A[r]$ 
3      $m = \text{divide}(A, l, r)$ 
      /* Divide  $A$  according to  $p$ :
          $A[l], \dots, A[m - 1] \leq p \leq A[m + 1], \dots, A[r]$ 
      */
4     Quicksort( $A, l, m - 1$ )
5     Quicksort( $A, m + 1, r$ )
```

The *divide* step



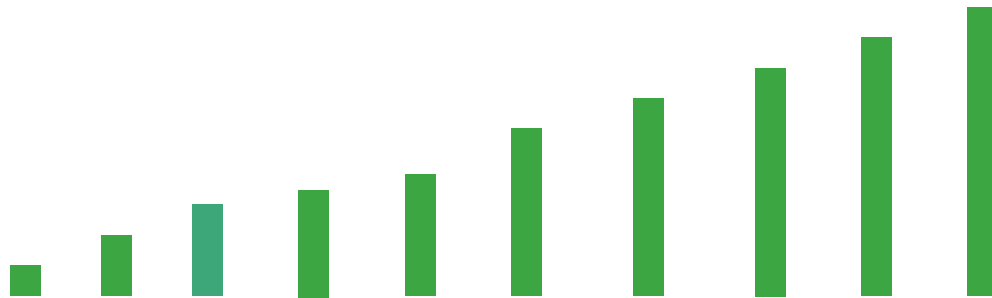
The *divide* step



divide(A, l, r):

- returns the index of the pivot element in A
- can be done in time $O(r - l)$

Worst-case input



n elements:

Running time: $(n-1) + (n-2) + \dots + 2 + 1 = n \cdot (n-1) / 2$

Randomized Quicksort

Algorithm: Quicksort

Input: unsorted range $[l, r]$ in array A

Output: sorted range $[l, r]$ in array A

```
1  if  $r > l$ 
2      then randomly choose a pivot element  $p = A[i]$  in range  $[l, r]$ 
3          swap  $A[i]$  and  $A[r]$ 
4           $m = \text{divide}(A, l, r)$ 
           /* Divide  $A$  according to  $p$ :
            $A[l], \dots, A[m - 1] \leq p \leq A[m + 1], \dots, A[r]$ 
           */
5          Quicksort( $A, l, m - 1$ )
6          Quicksort( $A, m + 1, r$ )
```

Analysis 1

n elements; let S_i be the i -th smallest element

S_1 is chosen as pivot with probability $1/n$:

Sub-problems of sizes 0 and $n-1$

•
•
•

S_k is chosen as pivot with probability $1/n$:

Sub-problems of sizes $k-1$ and $n-k$

•
•
•

S_n is chosen as pivot with probability $1/n$:

Sub-problems of sizes $n-1$ and 0

Analysis 1

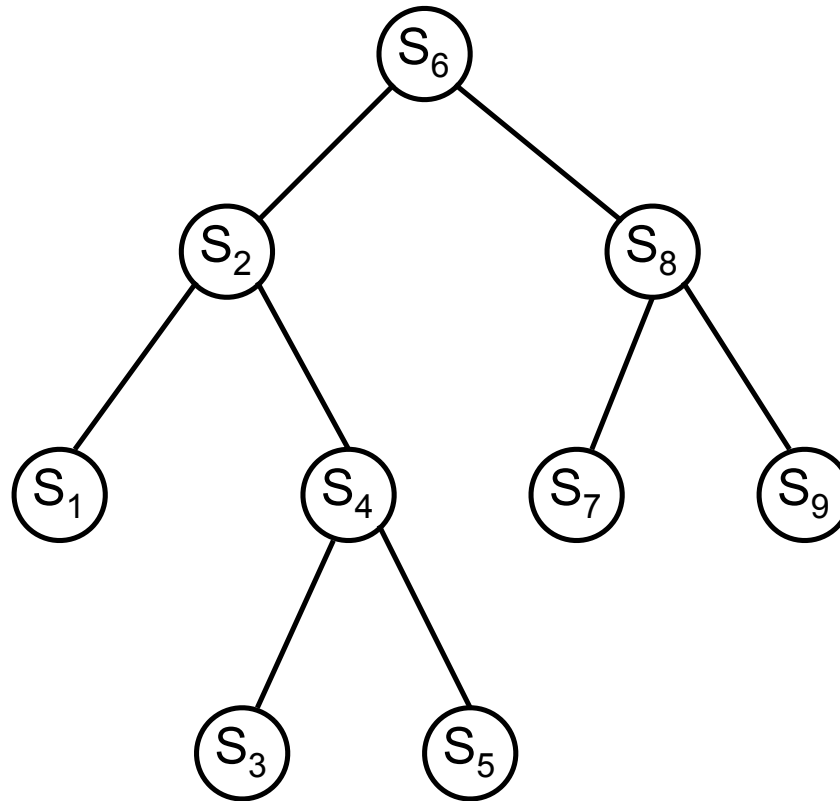
Expected running time:

$$T(n) = \frac{1}{n} \sum_{k=1}^n (T(k-1) + T(n-k)) + \Theta(n)$$

$$= \frac{2}{n} \sum_{k=1}^n T(k-1) + \Theta(n)$$

$$= O(n \log n)$$

Analysis 2: Representation of Quicksort as a tree



$\pi = S_6 S_2 S_8 S_1 S_4 S_7 S_9 S_3 S_5$

Analysis 2

Expected number of comparisons:

$$X_{ij} = \begin{cases} 1 & \text{if } S_i \text{ is compared with } S_j \\ 0 & \text{otherwise} \end{cases}$$

$$E \left[\sum_{i=1}^n \sum_{j>i} X_{ij} \right] = \sum_{i=1}^n \sum_{j>i} E[X_{ij}]$$

p_{ij} = probability that S_i is compared with S_j

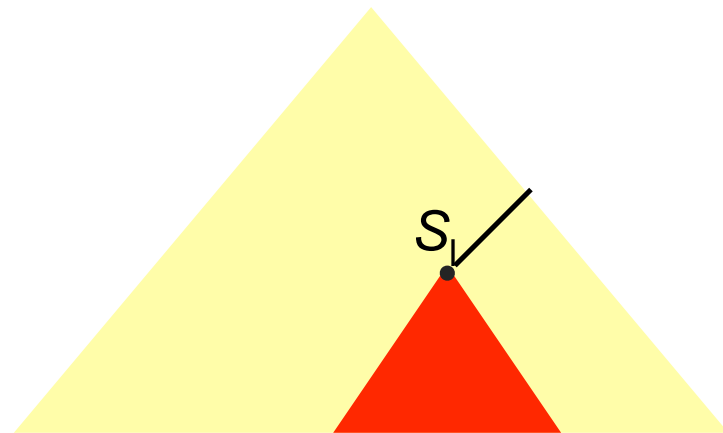
$$E[X_{ij}] = 1 \times p_{ij} + 0 \times (1 - p_{ij}) = p_{ij}$$

Calculation of p_{ij}

- ▶ S_i is compared with S_j iff S_i or S_j is chosen as pivot element in π before any other $S_l, i < l < j$.

$\{S_i \dots S_l \dots S_j\}$

- ▶ Each of the elements S_i, \dots, S_j is chosen first as the pivot with the same probability.



$$p_{ij} = 2/(j-i+1)$$

$\{\dots S_i \dots S_l \dots S_j \dots\}$

Analysis 2

Expected number of comparisons:

$$\begin{aligned}\sum_{i=1}^n \sum_{j>i} p_{ij} &= \sum_{i=1}^n \sum_{j>i} \frac{2}{j-i+1} \\ &= \sum_{i=1}^n \sum_{k=2}^{n-i+1} \frac{2}{k} \\ &\leq 2 \sum_{i=1}^n \sum_{k=1}^n \frac{1}{k} \\ &= 2n \sum_{k=1}^n \frac{1}{k}\end{aligned}$$

$$H_n = \sum_{k=1}^n 1/k \approx \ln n$$



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